

An introduction to Nichols algebras

南京大学 数学系

2020年 6 月

内容提要

1 Preliminaries

内容提要

- 1 Preliminaries
- 2 Braided tensor categories

内容提要

- 1 Preliminaries
- 2 Braided tensor categories
- 3 Nichols algebras

内容提要

- 1 Preliminaries
- 2 Braided tensor categories
- 3 Nichols algebras
- 4 Classes of Nichols algebras

Conventions

- $\mathbb{N} = \{1, 2, 3, \dots\}$, $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$. If $k < \theta \in \mathbb{N}_0$, then $\mathbb{I}_{k,\theta} = \{n \in \mathbb{N}_0 : k \leq n \leq \theta\}$
- \mathbb{G} denotes the group of N -roots of unity in \mathbb{k} .
- $V^* := \text{hom}_{\mathbb{k}}(V, \mathbb{k})$,
- The finite field with q elements is denoted \mathbb{F}_q .

Groups

Theorem (Maschke)

Let G be a finite group. Then TFAE:

- 1 The characteristic of \mathbb{k} does not divide $|G|$.
- 2 Every finite-dimensional representation of G is completely reducible.

The tensor algebra

- Graded vector space with a fixed grading $V = \bigoplus_{n \in \mathbb{N}_0} V^n$
- Hilbert-Poincare series:

$$H_V = \sum_{n \in \mathbb{N}_0} \dim V^n t^n \in \mathbb{Z}[[t]] \quad (1.1)$$

- The graded dual of a locally finite graded vector space $V = \bigoplus_{n \in \mathbb{N}_0} V^n$ is

$$V^* = \bigoplus_{n \in \mathbb{N}_0} V^{*n}, \quad V^{*n} = \text{hom}_{\mathbb{k}}(V^n, \mathbb{k}). \quad (1.2)$$

The tensor algebra

- The product $\mu : T(V) \otimes T(V) \rightarrow T(V)$ of the tensor algebra is given by

$$\mu_{m,n} : T^m(V) \otimes T^n(V) \cong T^{m+n}(V) \quad (1.3)$$

- The enveloping algebra of a Lie algebra L :

$$U(L) := T(L) / \langle xy - yx - [x, y] : x, y \in L \rangle \quad (1.4)$$

- $\delta : V \rightarrow T(V) \otimes T(V)$, $\delta(v) = v \otimes 1 + 1 \otimes v$ extends to $\Delta : T(V) \rightarrow T(V) \otimes T(V)$. Then $T(V)$ becomes a Hopf algebra.
- $\delta : L \rightarrow U(L) \otimes U(L)$, $\delta(v) = v \otimes 1 + 1 \otimes v$ extends to $\Delta : U(L) \rightarrow U(L) \otimes U(L)$, so that $U(L)$ is a Hopf algebra.

The symmetric algebra

- $S(V) := T(V)/\langle xy - yx : x, y \in V \rangle = \bigoplus_{n \geq 0} S^n(V)$
- $\Lambda(V) := T(V)/\langle xy + yx : x, y \in V \rangle = \bigoplus_{n \geq 0} \Lambda^n(V)$

Coalgebras and Hopf algebras

- $D \wedge E := \{c \in C : \Delta(c) \in D \otimes C + C \otimes E\}$
- Simple coalgebra
- coradical
- cosemisimple coalgebra: $C = corad(C)$
- Pointed: $corad(C) = \bigoplus V_i$, where V_i are subcoalgebras of C with $\dim V_i = 1$

The tensor coalgebras

The tensor coalgebra $T^c(V)$ is the vector space $T(V)$ with Δ given by

$$\Delta(v_1 v_2 \dots v_n) = \sum_{j \in I_n} v_1 \dots v_j \otimes v_{j+1} \dots v_n \quad (1.5)$$

Gelfand-Kirillov dimension

GK-dimension

If A is finitely generated,

$$\text{GKdim}A := \overline{\lim}_{n \rightarrow \infty} \log_n \dim A_n \quad (1.6)$$

If A is not finitely generated

$$\text{GKdim}A := \sup\{\text{GKdim}B \mid B \text{ finitely generated subalgebra of } A\}$$

- $\text{GKdim}T(V) = \infty$, if $\dim V > 1$
- $\text{GKdim}S(V) = d$, if $\dim V = d$
- Let A be finitely generated, then
 $\text{GKdim}A = 0 \Leftrightarrow \dim A < \infty$.
- $\text{GKdim}U(L) = \dim L$, if L is a Lie algebra.

Braided vector spaces

Definition

We say (V, c) is a braided vector space if $c \in GL(V \otimes V)$ satisfies

$$(c \otimes \text{id})(\text{id} \otimes c)(c \otimes \text{id}) = (\text{id} \otimes c)(c \otimes \text{id})(\text{id} \otimes c) \quad (2.1)$$

Braided vector spaces

- symmetry: $c^2 = \text{id}$
- Hecke type: $\text{char } \mathbb{k} = 0, k \in \mathbb{k}^\times, q \neq -1.$
 $(c - q\text{id})(c + \text{id}) = 0$
- Diagonal type: $c^q(x_i \otimes x_j) = q_{ij}x_j \otimes x_i$
- Triangular type: $c(x_i \otimes x_j) \in q_{ij}x_j \otimes x_i + V_{j-1} \otimes V$
- Rack type

Braided vector spaces

Rack type

$(\mathbb{k}X, c^q)$ is of rack type

$$c^q(e_x \otimes e_y) = q_{x,y} e_{x \triangleright y} \otimes e_x \quad x, y \in X \quad (2.2)$$

such that

$$q_{x,y \triangleright z} q_{y,z} = q_{x \triangleright y, x \triangleright z} q_{x,z}, \quad x, y, z \in X \quad (2.3)$$

Let W be a vector space, $q : X \times X \rightarrow GL(W)$ be a function.

$V = \mathbb{k}X \otimes W, e_x v := e_x \otimes v,$

$$c^q(e_x v \otimes e_y w) = e_{x \triangleright y} q_{x,y}(w) \otimes e_x v, \quad x, y \in X, v, w \in W. \quad (2.4)$$

Racks

Permutation rack

Let X be a non-empty set. Given $\sigma \in \mathbb{S}_X$, the associated permutation rack (X, \triangleright) is defined by $x \triangleright y = \sigma(y)$

Group as a rack

A group G is a rack with $x \triangleright y = xyx^{-1}$. If $X \subset G$ is a conjugacy class, then X is a subrack of G .

Twisted conjugacy rack

Let G be a group and $T \in \text{Aut}(G)$. Let \rightarrow_T be the action of G on itself given by $x \rightarrow_T y = xyT(x^{-1})$, $x, y \in G$. Then the orbit $\mathcal{O}_x^{G,T}$ of $x \in G$ by this action is a rack with operation

$$y \triangleright_T z = yT(zy^{-1}), \quad y, z \in \mathcal{O}_x^{G,u} \quad (2.5)$$

The rack $(\mathcal{O}_x^{G,T})$ is called a twisted conjugacy rack.

Racks

Affine rack

Let A be an abelian group and $T \in \text{Aut}(A)$. We define operation \triangleright by

$$x \triangleright y = (1 - T)x + Ty, \quad x, y \in A. \quad (2.6)$$

Then (A, T) is a rack, denoted $\text{Aff}(A, T)$.

Racks

Simple rack

A finite rack X is simple if

- it has at least 2 elements,
- for any surjective morphism of racks $\pi : X \rightarrow Y$, either π is an isomorphism or Y has just one element.

Racks

Classification of simple racks

Let X be a finite simple rack with $|X|$ elements. Then either of the following holds:

1. $|X|$ is divisible by at least 2 primes, In this case, there exist
 - a simple non-abelian group L
 - $t \in \mathbb{N}$, and
 - $\theta \in \text{Aut}L$

such that X is a twisted conjugacy class of type (G, T) , where

- $G \in L^t$ and
- $T \in \text{Aut}(L^t)$ acts by

$$T(\ell_1, \dots, \ell_t) = (\theta(\ell_t), \ell_1, \dots, \ell_{t-1}), \ell_1, \dots, \ell_t \in L. \quad (2.7)$$

Furthermore, L and t are unique, and T only depends on its conjugacy class in $\text{Out}(L^t) = \text{Aut}(L^t)/\text{Inn}(L^t)$.

Racks

2. $|X| = p^t$ where p is prime and $t \in \mathbb{N}$. In this case, there exist 2 possibilities:

- 1 $t = 1$ and $X \cong \mathbb{I}_p$ is the permutation rack of the cycle $(1, 2, \dots, p)$
- 2 X is the affine rack \mathbb{F}_p^t, T , where T is the companion matrix of a monic irreducible polynomial $f \in \mathbb{F}_p[X]$ of degree t , different from X and $X - 1$.

Braided tensor categories

Braided monoidal category

A Braided monoidal category is a monoidal category \mathcal{C} provided with a natural isomorphism $c_{X,Y} : X \otimes Y \rightarrow Y \otimes X$, called the braiding, that is required to fulfill the hexagon axioms.

Yetter-Drinfeld modules

Let H be a Hopf algebra with bijective antipode S . Let $G(H)$ be the group of group-like elements.

Yetter-Drinfeld modules

A Yetter-Drinfeld module over H is a vector space V provided with

- ① a structure of left H -module $\mu : H \otimes V \rightarrow V$ and
- ② a structure of left H -comodule $\rho : V \rightarrow H \otimes V$ such that
- ③ for all $h \in H$ and $v \in V$, the following compatibility condition holds:

$$\rho(h \cdot v) = h_{(1)}v_{(-1)}S(h_{(3)}) \otimes h_{(2)} \cdot v_{(0)} \quad (2.8)$$

Thus we have the category ${}^H_H\mathcal{YD}$ of Yetter-Drinfeld modules, with morphisms being linear maps that preserve both the action and coaction, i.e. both module maps and comodule maps.

Yetter-Drinfeld modules

Braiding of Yetter-Drinfeld modules

${}^H_H\mathcal{YD}$ is braided tensor category, with tensor product of modules and comodules and braiding

$$c_{V,W}(v \otimes w) = v_{(-1)} \cdot w \otimes v_{(0)} \quad V, W \in {}^H_H\mathcal{YD}, v \in V, w \in W.$$

Here $c_{V,W}$ is bijective because S is so; indeed

$$c_{W,V}^{-1}(v \otimes w) = w_{(0)} \otimes S^{-1}(w_{(-1)}) \cdot v, \quad V, W \in {}^H_H\mathcal{YD}, v \in V, w \in W.$$

Yetter-Drinfeld modules

Realization

Let (V, c) be a rigid braided space. Then there is a Hopf algebra $H(V)$ such that $V \in {}_{H(V)}^{H(V)} \mathcal{YD}$ and $c = c_{V,V}$.

Yetter-Drinfeld modules

YD-pair

YD-pairs classify the $V \in {}_H^H \mathcal{YD}$ with $\dim V = 1$.

$$\delta(k) = g \otimes k, \quad h \cdot k = \chi(h)k$$

principal realization

Let $q = (q_{ij}) \in (\mathbb{k})^{\mathbb{I} \times \mathbb{I}}$ be a 2-cocycle and let V be the corresponding braided vector space of **diagonal type** with respect to a basis $(x_i)_{i \in \mathbb{I}}$. A principal realization of (V, c) is a collection $(g_i, \chi_i)_{i \in \mathbb{I}}$ of YD-pairs such that $q_{ij} = \chi_j(g_i)$ for all $i, j \in \mathbb{I}$. But there might be realizations different from here.

Yetter-Drinfeld modules

Yetter-Drinfeld modules over finite abelian groups

\mathbb{k} is algebraically closed and $\text{Char } \mathbb{k} = 0$, If $H = \mathbb{k}\Gamma$, where Γ is finite abelian, then every $V \in {}^H_H \mathcal{YD}$ of dimension $\theta \in \mathbb{N}$ is determined by families $(\chi_i)_{i \in \mathbb{I}_\theta}$ and $(g_i)_{i \in \mathbb{I}_\theta}$

Yetter-Drinfeld modules

YD-triple(realization of a 2-block)

Let (g, χ, η) be a YD-triple. Let $\mathcal{V}_g(\chi, \eta)$ be a vector space with basis $(x_i)_{i \in \mathbb{I}_2}$, where the action and coaction are given by

$$h \cdot x_1 = \chi(h)x_1, \quad h \cdot x_2 = \chi(h)x_2 + \eta(h)x_1, \quad (2.9)$$

$$\delta(x_i) = g \otimes x_i, \quad h \in H. \quad (2.10)$$

Then $\mathcal{V}_g(\chi, \eta) \in_{H}^H \mathcal{YD}$.

Yetter-Drinfeld modules

conjugacy class in a finite group

Let G be a finite group. Let \mathcal{O} be a conjugacy class in G , pick $x \in \mathcal{O}$ and (W, ρ) an irreducible representation of $G^x = \{g \in G : gx = xg\}$. Let

$$M(\mathcal{O}, \rho) = \text{Ind}_{G^x}^G \rho = \mathbb{k}G \otimes_{\mathbb{k}G^x} W \quad (2.11)$$

Then $M(\mathcal{O}, \rho) \in {}_{\mathbb{k}G}^{\mathbb{k}G} \mathcal{YD}$

Hopf algebras in braided tensor categories

- monoid(algebra) in a monoidal category \mathcal{C}
- comoid(coalgebra) in a monoidal category \mathcal{C}
- tensor product of 2 monoids in \mathcal{C}
- bialgebra in a monoidal category \mathcal{C}

Bosonization

Bosonization

H is a Hopf algebra and R is a braided Hopf algebra, then $R\#H$ is a Hopf algebra by

$$(r\#h)(s\#f) = r(h_{(1)}c \cdot s)\#h_{(2)}f, \quad (3.1)$$

$$\Delta(r\#h) = r^{(1)}\#(r^{(2)})_{(-1)}h_{(1)} \otimes (r^{(2)})_{(0)}\#h_{(2)} \quad (3.2)$$

We call $R\#H$ the bosonization (Radford biproduct) of R .

Nichols algebras: definitions

Nichols algebra

The Nichols algebra $\mathcal{B}(V)$ is the image of the map Ω .

Criterion using skew derivations

Let $x \in T^n(V)$, $n \geq 2$. If $\partial_f(x) = 0$ for all $f \in V^*$, then $x \in J^n(V)$.

Nichols algebras: definitions

Application to the pointed Hopf algebras

Let A be a pointed Hopf algebra and let $gr A$ be the graded coalgebra associated to the coradical filtration. Then

$$gr A \cong \mathcal{R} \# \mathbb{k}G(A) \quad (3.3)$$

where $\mathcal{R} = \bigoplus_{n \geq 0} \mathcal{R}^n$ is a graded Hopf algebra in ${}^H_H\mathcal{YD}$. Set

$V = \mathcal{R}^1$. \mathcal{R} is a post-Nichols algebra of V , while its subalgebra generated by V is isomorphic to $\mathcal{B}(V)$.

Nichols algebras: definitions

Problems

- when is $\dim \mathcal{B}(V) < \infty$? For such V , classify its finite-dimensional post-Nichols algebras.
- When $\text{GKdim}(\mathcal{B}(V)) < \infty$? For such V , classify its finite GK-dimensional post-Nichols algebras.

Conjecture

Assume $\text{Char} \mathbb{k} = 0$ and H is semisimple. Let $V \in {}^H_H \mathcal{YD}$ such that $\dim \mathcal{B}(V) < \infty$. Then there is no finite-dimensional post-Nichols algebras except $\mathcal{B}(V)$ itself.

Nichols algebras: techniques

- direct computation
- dual
- twisting
- discard
- decomposition

Symmetries and Hecke type

Proposition 7

Let (V, c) be a braided vector space such that c is either a symmetry or of Hecke type with label $q \notin \mathbb{G}_\infty$. Then

$$\mathcal{B}(V) \cong T(V) / \langle \ker(c + \text{id}) \rangle$$

Diagonal type

Theorem 5

Let V be a braided vector space of Cartan type with Cartan matrix A . Then $\dim \mathcal{B}(V) < \infty \Leftrightarrow A$ is a finite Cartan matrix.

Conjecture

If $\text{GKdim} \mathcal{B}(V) < \infty$, then its Weyl groupoid is finite.

Theorem 6

If either its Weyl groupoid is infinite and $\dim V = 2$, or else is of affine Cartan type, then $\text{GKdim} \mathcal{B}(V) = \infty$.

Triangular type

Theorem 7

$\text{GKdim}\mathcal{B}(V(\epsilon, \ell)) < \infty$ if and only if $\ell = 2$ and $\epsilon \in \{\pm 1\}$. If this happens, then $\text{GKdim}\mathcal{B}(V(\epsilon, \ell)) = 2$

Theorem 8

Let V be a braided vector space with braiding (83). Then $\text{GKdim}\mathcal{B}(V) < \infty$ if and only if the ghost is discrete and V is as in Table 1.

Theorem 9

Let V be a braided vector space with braiding (84). Then $\text{GKdim}\mathcal{B}(V) < \infty$ if and only if $\epsilon = -1$ and either of the following holds:

- ① $q_{12}q_{21} = 1$ and $q_{22} = \pm 1$; in this case $\text{GKdim}\mathcal{B}(V) = 1$
- ② $q_{22} = -1 = q_{12}q_{21}$; in this case $\text{GKdim}\mathcal{B}(V) = 2$.

Triangular type

Let G be an abelian group and $V \in {}^H_H \mathcal{YD}$ of dimension 3 but not of diagonal type. Then $\text{GKdim} \mathcal{B}(V) < \infty$ if and only if V has the shape (83)(84).

Rack type, infinite dimension

- collapse
- type C, D, F
- kthulhu

Theorem 10

A rack X of type C, D or F collapses.

Question

Are the criteria of types C, D, F valid to finite GK-dimension?

Rack type, infinite dimension

- $\mathcal{O}_\sigma^{S_m}, \mathcal{O}_\sigma^{A_m}$
- unipotent(semisimple) conjugacy class in a Chevalley or Steinberg group.
- sporadic simple group different from the Monster M

Question

Are there cocycles for $SP_{2n,q}$ or $SU_{m,q}$ such that the corresponding Nichols algebras are finite dimensional?

Rack type, finite dimension

Thank you!

Email: zhangyongliang0@yeah.net